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CONFIRMATION NO. 3070

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|---|---|-------------------------------|---|---|
| <b>SERIAL NUMBER</b><br>09/546,031  | <b>FILING OR 371(c) DATE</b><br>04/10/2000<br><b>RULE</b>   | <b>CLASS</b><br>705           | <b>GROUP ART UNIT</b><br>3692   | <b>ATTORNEY DOCKET NO.</b><br>IVEN125461                          |
| <b>APPLICANTS</b><br>Christopher Keith, New York, NY;<br>YES 9/12/07<br><b>** CONTINUING DATA *****</b><br>NO<br><b>** FOREIGN APPLICATIONS *****</b>   |   |                               |   |   |
| <b>IF REQUIRED, FOREIGN FILING LICENSE GRANTED</b><br><b>** 06/14/2000</b>  |   |                               |   |   |
| Foreign Priority claimed <input type="checkbox"/> yes <input checked="" type="checkbox"/> no<br>35 USC 119 (a-d) conditions <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after<br>met<br>Verified and <u>C. Graham</u><br>Acknowledged Examiner's Signature Initials |   | <b>STATE OR COUNTRY</b><br>NY | <b>SHEETS DRAWING</b><br>5  | <b>TOTAL CLAIMS</b><br>18<br>35<br><b>INDEPENDENT CLAIMS</b><br>3 |
| <b>ADDRESS</b><br>52531   |   |                               |   |   |
| <b>TITLE</b><br>Trading system with elfs and umpires  |   |                               |   |   |
| <b>FILING FEE RECEIVED</b><br>1495  | FEES: Authority has been given in Paper<br>No. _____ to charge/credit DEPOSIT ACCOUNT<br>No. _____ for following: |                               | <input type="checkbox"/> All Fees<br><input type="checkbox"/> 1.16 Fees ( Filing )<br><input type="checkbox"/> 1.17 Fees ( Processing Ext. of time )<br><input type="checkbox"/> 1.18 Fees ( Issue )<br><input type="checkbox"/> Other _____<br><input type="checkbox"/> Credit |   |